

Evaluation of RF Power Absorption and Electric and Magnetic Field Enhancements due to Surface Roughness

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Introduction

- Surface roughness may assume many forms
 - Impurities or foreign objects
 - Manufacture tolerance, same material as the surface
 - Grain boundaries
- Surface roughness may lead to
 - Enhanced RF **power loss**
 - Local electric field enhancement, **breakdown**
 - Local magnetic field enhancement, **quenching** in a superconducting cavity, i.e., rapid loss of superconductivity

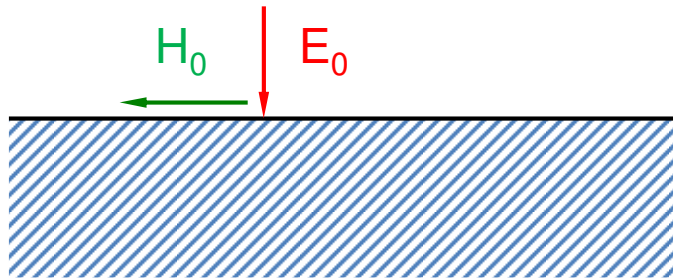


Outline

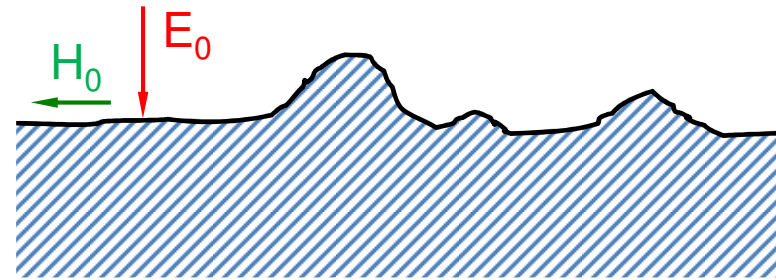
- Model
- RF absorption on flat metal surface
- RF absorption due to surface roughness
- Electric field enhancement due to surface roughness
- Magnetic field enhancement due to surface roughness
- Conclusion



Rough Surface



Pristine Surface



Rough Surface

(1) Cause enhanced heating

(2) Cause local field enhancement

E_{rf} \implies Field Emission \implies Breakdown

H_{rf} \implies Quenching of Superconductor Cavity



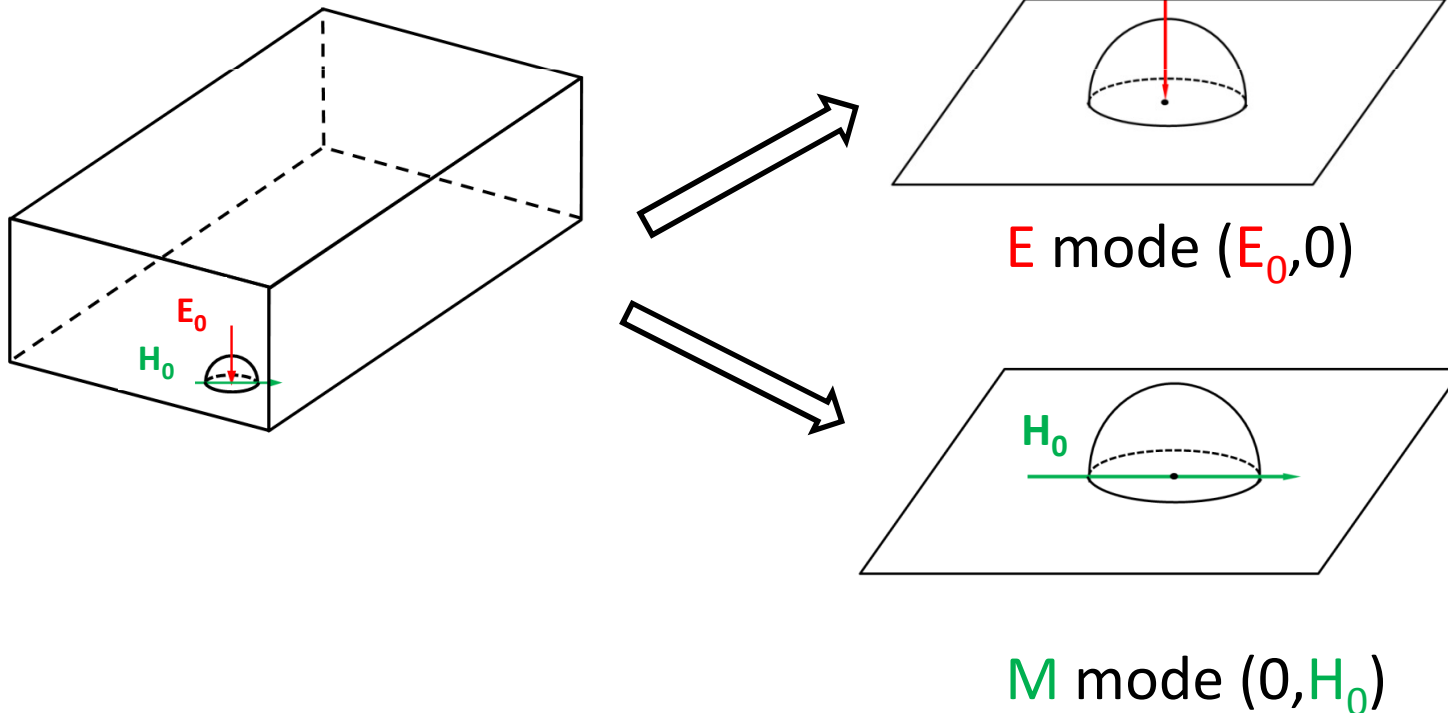
Approach

- Surface roughness is represented by a hemispherical protrusion of radius $a \ll \lambda$
 - ϵ , μ , and σ of protrusion assume arbitrary values
 - protrusion may represent foreign object or same material
- Accurately and self-consistently calculate RF electric field and RF magnetic field in presence of protrusion
- Perturbed eigenvalue gives enhanced RF loss,
Perturbed eigenfunction gives RF field enhancements

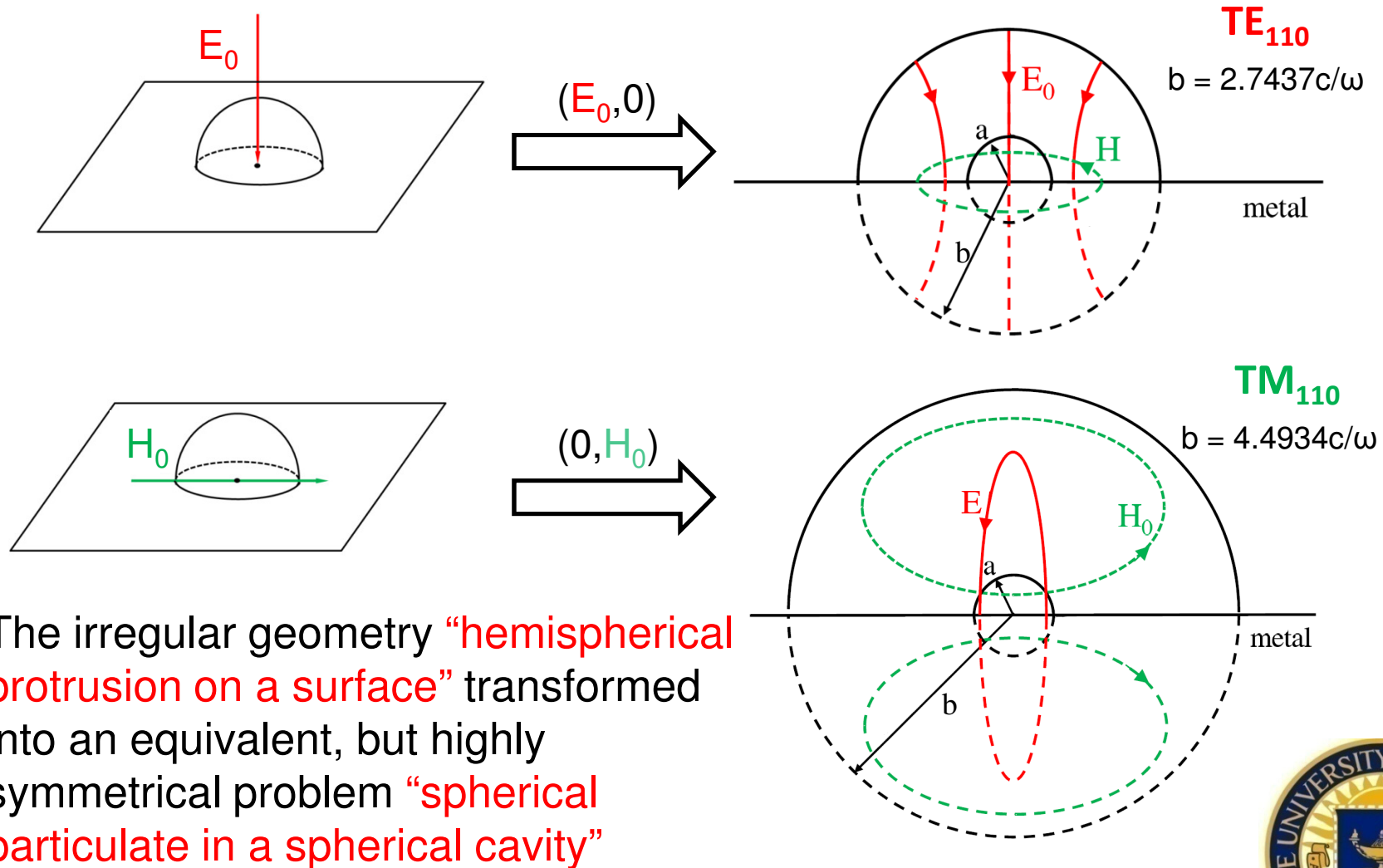


Hemispherical protrusion on the surface ($a \ll \lambda_{\text{exterior}}$)

$$(\mathbf{E}_0, \mathbf{H}_0) = \underbrace{(\mathbf{E}_0, 0)}_{\text{E mode}} + \underbrace{(0, \mathbf{H}_0)}_{\text{M mode}}$$



Hemispherical protrusion on the surface



The irregular geometry “hemispherical protrusion on a surface” transformed into an equivalent, but highly symmetrical problem “spherical particulate in a spherical cavity”



Hemispherical protrusion on the surface

For both TE_{110} & TM_{110} mode,

(A) Perturbation on Eigenvalue gives power dissipated by particulate[1]

$$2\omega_i = \frac{P_d}{U}$$

(B) Perturbation on Eigenfunction gives RF field enhancements

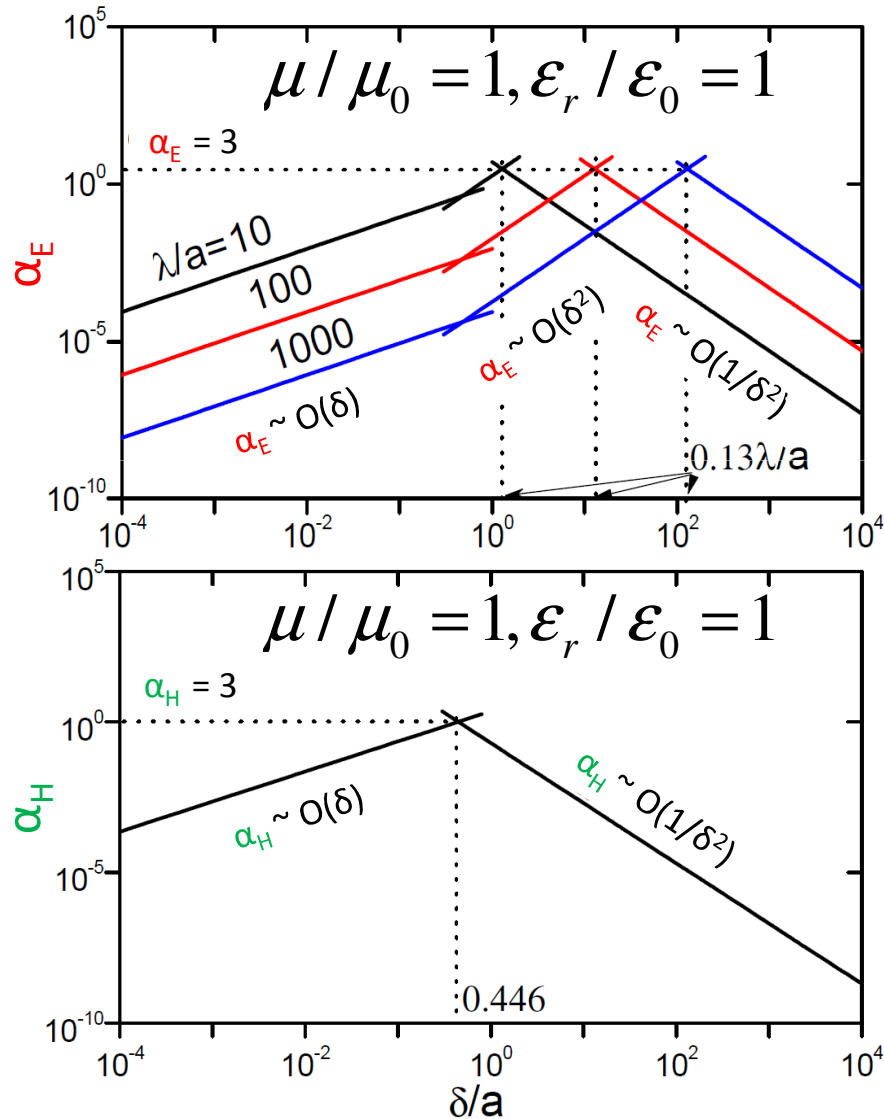
Note: (a) $(\epsilon_r, \sigma, \mu)$ of protrusion may be arbitrary.

(b) Perturbed TE_{110} & TM_{110} mode calculated exactly, consistent with full set of Maxwell equations.

[1] W. Tang, H. Bosman, Y. Y. Lau and R. M. Gilgenbach,
J. Appl. Phys. 97, 114915 (2005)



RF Power absorption due to small hemispherical protrusion on the surface



$$P_E = \alpha_E \omega \left(\frac{1}{2} \epsilon_0 E_0^2 \right) V$$

α_E : Electrical polarizability

$$V = \frac{2\pi}{3} a^3 = \text{Volume of protrusion}$$

$$P_M = \alpha_H \omega \left(\frac{1}{2} \mu_0 H_0^2 \right) V$$

α_H : Magnetic polarizability

δ = skin depth



Comparison of RF Power absorption due to uncorrelated small hemispherical protrusions

I. If protrusions & flat surface of **same** conducting materials, $\delta = \delta_s$

$$R = \frac{\sum P_{\text{protrusion}}}{P_{\text{flat}}} = 3f_{\text{protrusion}}$$

$$f_{\text{protrusion}} = A_{\text{protrusion}}/A_{\text{flat}}$$

II. If protrusions are foreign objects with maximum α_E , the maximum ohmic loss through the **RF electric field** is

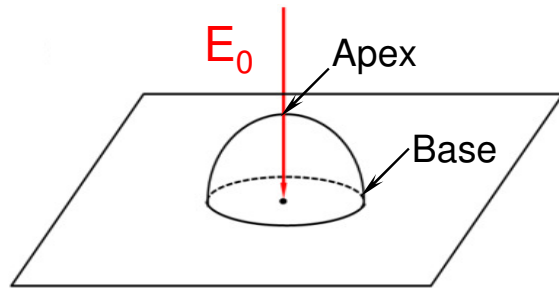
$$R = R_{\text{max}}(\text{TE}) = \left(\frac{4a}{\delta_s} \right) f_{\text{protrusion}}$$

III. If protrusions are foreign objects with maximum α_H , the maximum ohmic loss through the **RF magnetic field** is

$$R = R_{\text{max}}(\text{TM}) = \left(\frac{1.33a}{\delta_s} \right) f_{\text{protrusion}}$$

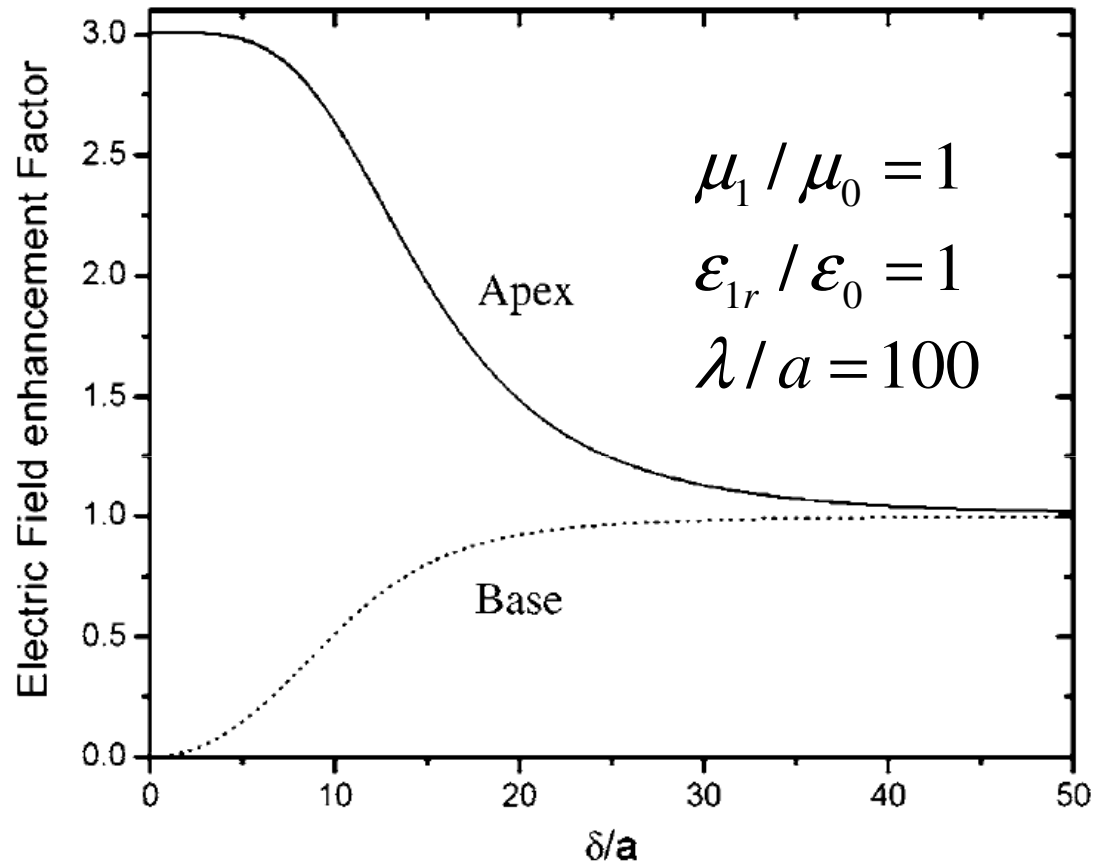


RF Electric field enhancement



$$\beta_E = \frac{E}{E_0}$$

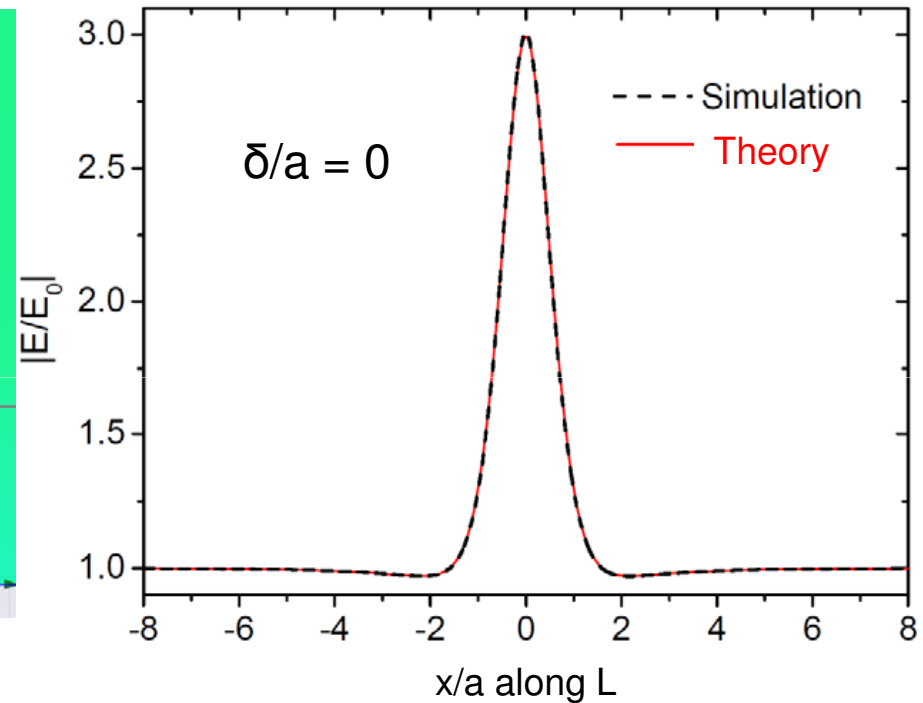
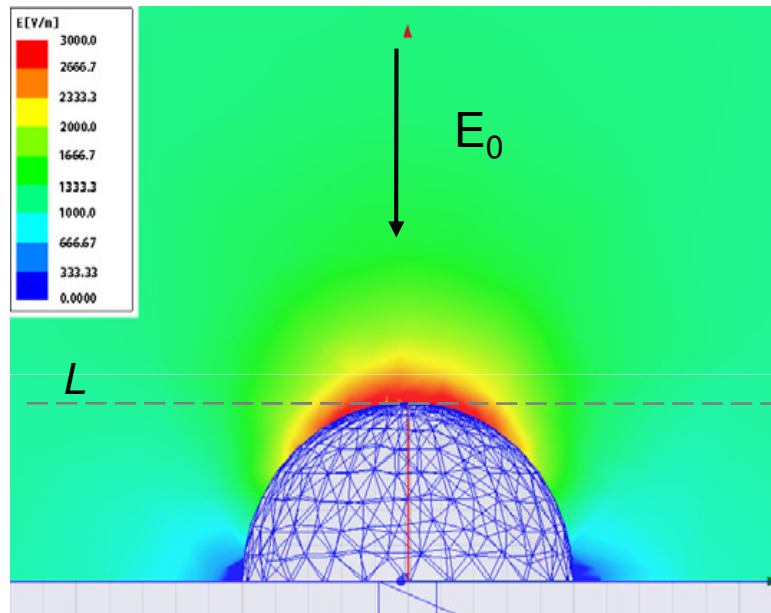
$\beta_E = 3$ at the apex
if $\delta \rightarrow 0$ [2]



[2] J. H. Jeans, *the mathematical theory of electricity and magnetism* (4th Edition, Cambridge University Press, Cambridge, 1920), p. 194.



Electric field enhancement due to hemispherical protrusion on the surface

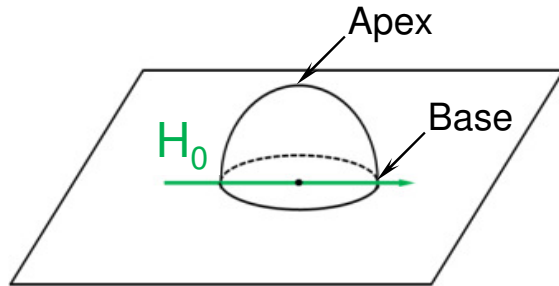


SIMULATION = MAXWELL 3D

THEORY = Perturbation of Eigenfunction by Particulate

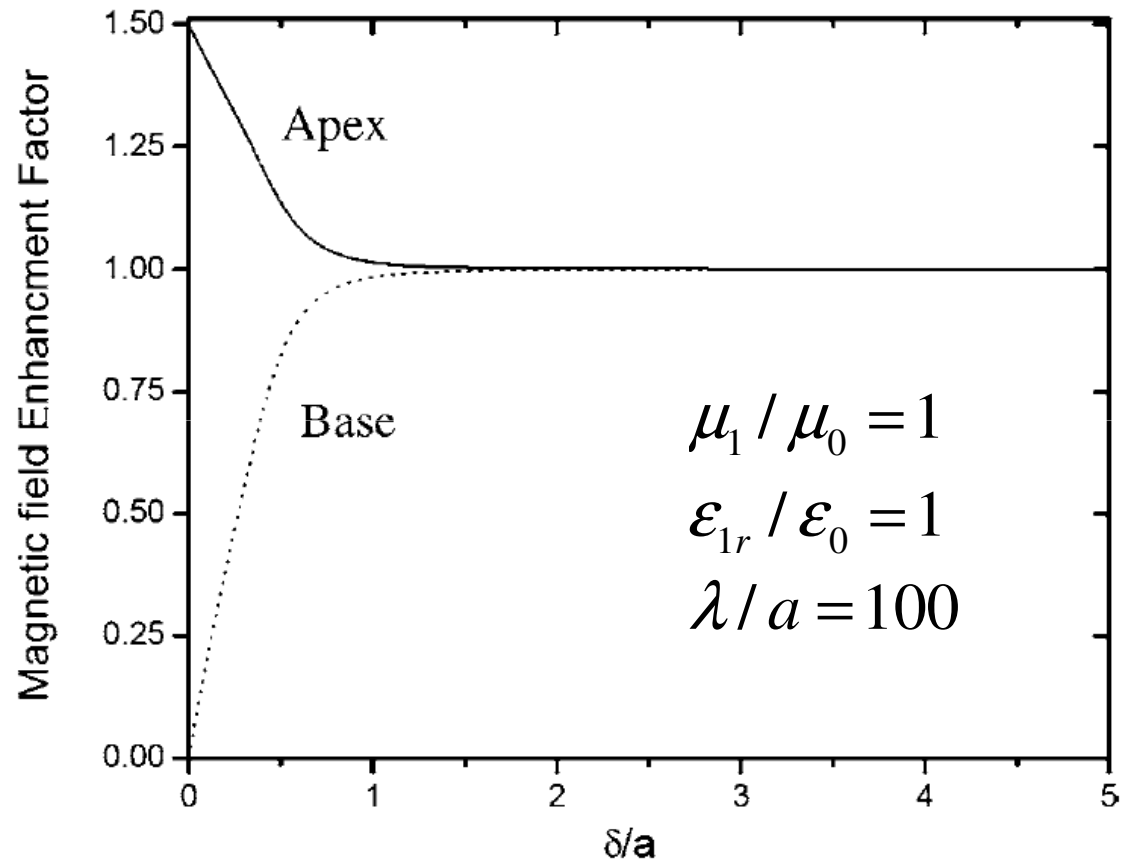


RF Magnetic field enhancement



$$\beta_M = \frac{H}{H_0}$$

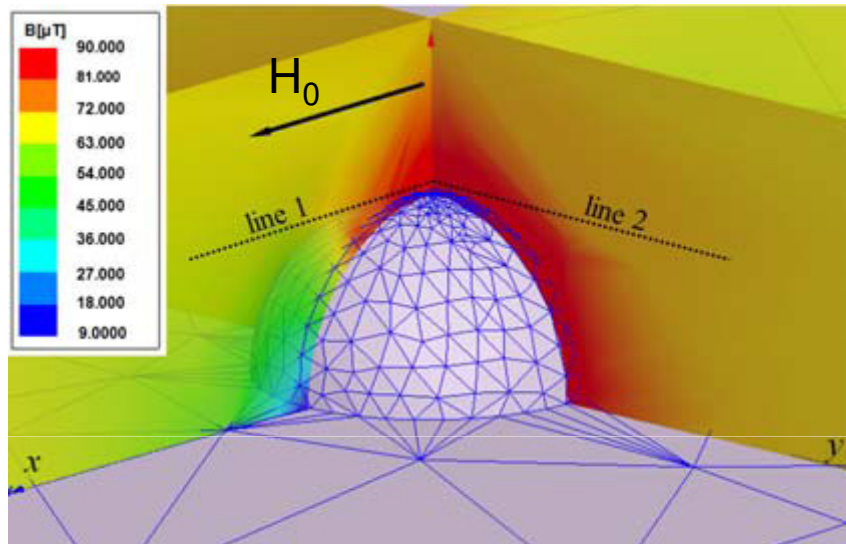
$\beta_M = 1.5$ at the apex
if $\delta \rightarrow 0$ [3]



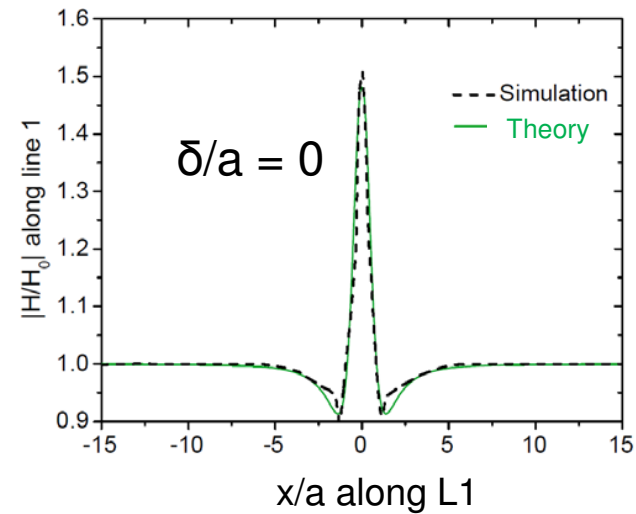
[3] A. C. Rose-Innes and E. H. Rhoderick, *Introduction to Superconductivity* (Pergamon Press, Glasgow, Scotland, 1969), p. 68.



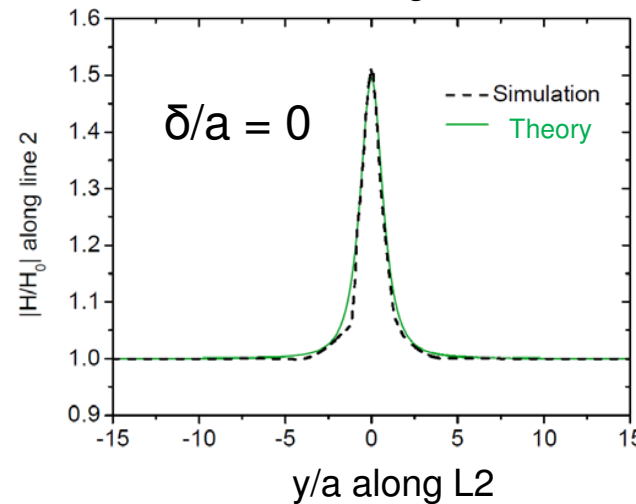
Magnetic field enhancement due to hemispherical protrusion on the surface



SIMULATION = MAXWELL 3D
THEORY = Perturbation of
Eigenfunction
by Particulate



Line 1



Line 2



Conclusion

- The RF absorption by a small hemispherical protrusion is accurately calculated for arbitrary values of $(\epsilon_r, \sigma, \mu)$.
- A (non-magnetic) metallic protrusion dissipates a lot more magnetic RF energy than the electric RF energy if $\delta \ll a$.
- RF electric and magnetic field enhancements are calculated from the perturbed eigenfunctions, and confirmed by MAXWELL 3D code.
- Since the scaling laws are constructed for all $\omega, \sigma, \epsilon, \mu$, the enhanced surface resistance may readily be assessed, once the distribution and composition of the surface roughness is postulated.
- Essentially calculated the scattered radiation of an arbitrary incident wave by a protrusion.

Peng Zhang, Y. Y. Lau, and R. M. Gilgenbach, "*Analysis of radio-frequency absorption and electric and magnetic enhancements due to surface roughness*", J. Appl. Phys. 105, 114908 (2009).

