

Building Better Mousetraps

Hollow Cathodes and the

Path to a Plasma Density "Standard Candle"

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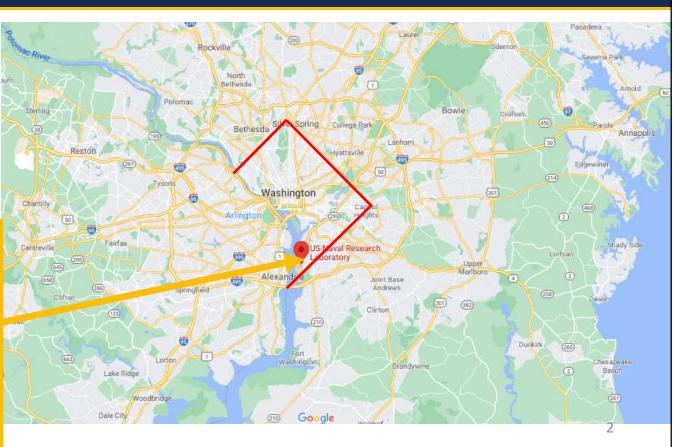
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--Thomas Edison





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Meet S&T needs of the US Navy and Marines

NRL is a \$1B organization employing over 1600 S&Es, over 50% PhDs, conducting basic and applied research spanning the depths of the ocean to the far reaches of space 3



Naval Center for Space Technology (NCST)

- 50's: Project Vanguard put the first US satellite in orbit.
- 60's: GRAB1 first surveillance satellite
- 70's-80's: Developed initial GPS
- 2000's: TacSat tactical communications
- 2010's: Robotic Servicing of Geostationary Satellites (RSGS)





Plasma Propulsion Personnel



Mike McDonald



Jack Brooks



Logan Williams



Nolan Uchizono



Marcel Georgin



Mitchell Paul

- Special thanks to our NRL Plasma Physics Division collaborators whose work is featured in this talk:
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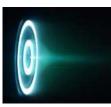
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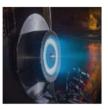
Background: What is a Hollow Cathode?

- Why work with cathodes?
 - Smaller, cheaper, easier than thrusters
 - They're a common pain point (hot, power-hungry, delicate, single points of failure)
 - Share many similar physics problems
- Operating principle:
 - Gas flows into a long tube
 - An "emitter" at the end is heated to thermionic electron emission
 - Electrons are drawn out toward a "keeper" to ignite a plasma
 - External heaters are turned off; the plasma stably self-heats
- What could go wrong?
 - Plasma instabilities at high ratios of I_D/m drive energetic ion bombardment producing keeper erosion

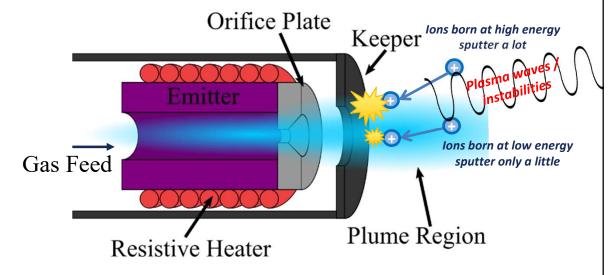








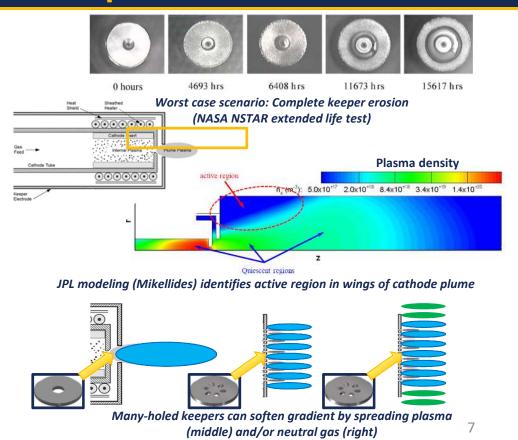
Cathodes: That bright little spike in the middle of cool Hall thruster photos





How Might We Suppress That Instability? Try Breaking Up the Keeper Orifice

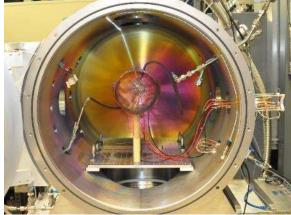
- We know ion bombardment can destroy cathodes
 - more current or less neutral damping exacerbates instability
- Some results suggest triggering in regions of high radial plasma or neutral gradient
- Could breaking the keeper into a "showerhead" style with multiple orifices help?
 - Lots of hand-wavey reasons to say "maybe"
 - But what a nice toy system!

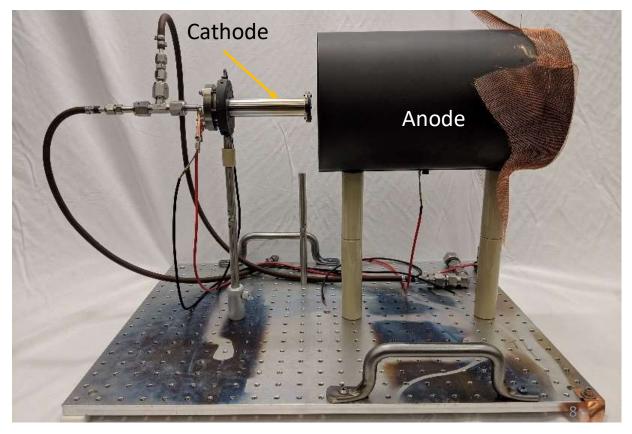




Experimental Configuration: External Anode Testing







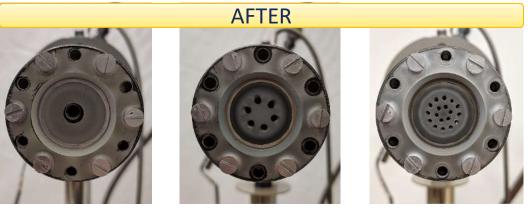


Reduced Erosion on MO Keeper

- Single Orifice:
 - Graphite erosion
 - Stainless scouring

- Multiple Orifice:
 - No erosion of graphite spray seen
 - Net deposition (backsputter) seen instead

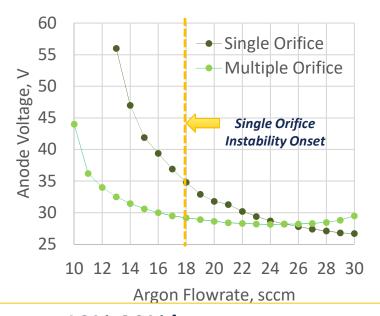






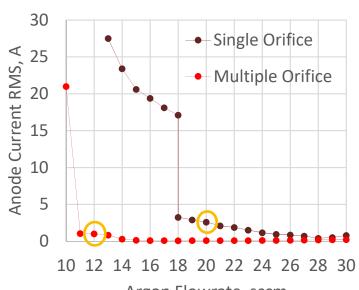
Performance Metrics

Discharge Voltage Anode-Cathode



10%-20% lower power

Discharge Current RMS Oscillation

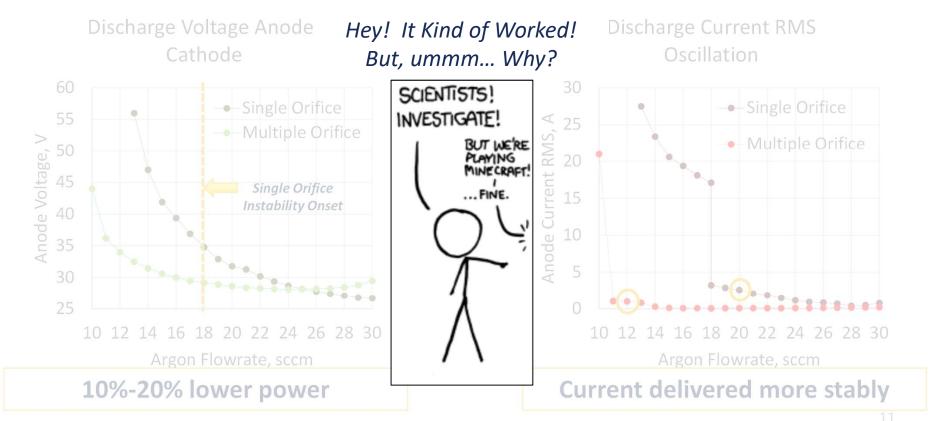


Argon Flowrate, sccm

Current delivered more stably



Performance Metrics





Experimental Apparatus

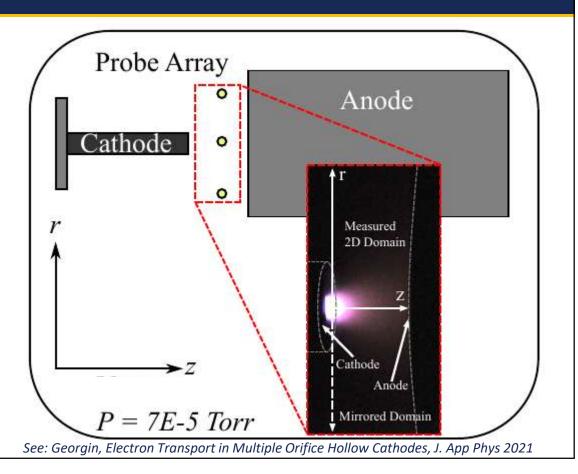






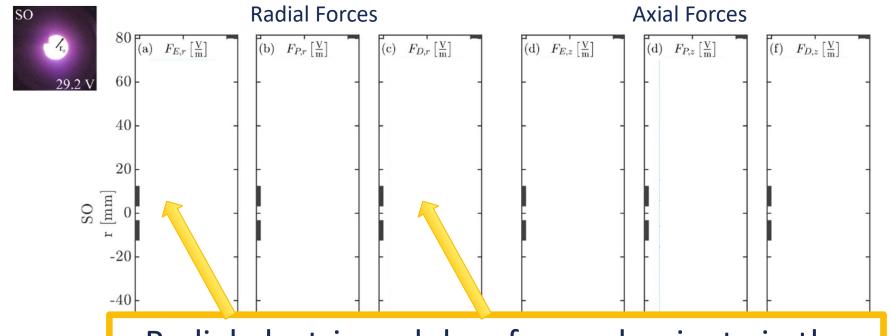
Hollow cathode operating parameters

- \dot{m} = 20 sccm Ar
- $I_{dc} = 15 \text{ A}$
- $V_{dc} = 29.1 \text{ V}$
- Langmuir Probe (n, T_e)
- Emissive probe (V_p)
- Ion saturation probe (v_e^{IAT})
- Can use to evaluate Ohm's law and determine flow field for electrons





SO: Forces Influencing Electron Flow Direction

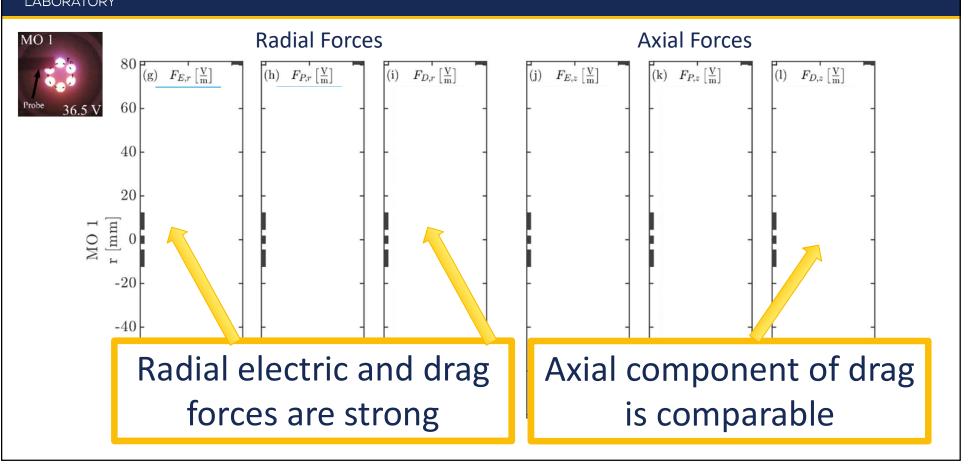


Radial electric and drag forces dominate in the plume region for the standard cathode

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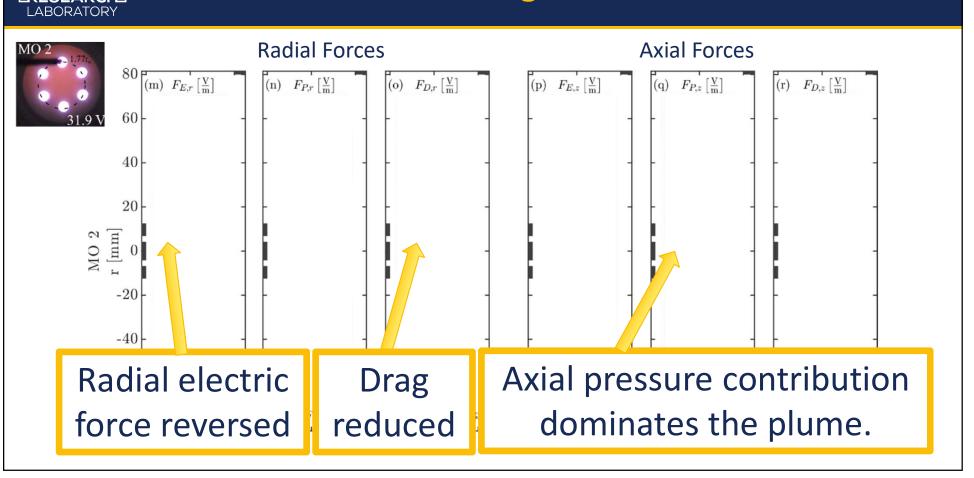


MO1: Forces Influencing Electron Flow Direction



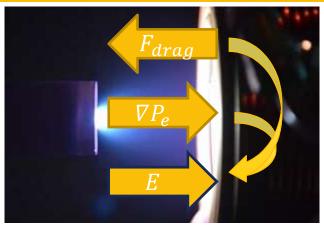


MO2: Forces Influencing Electron Flow Direction



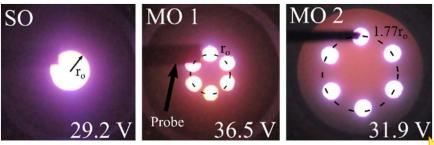


Electron Transport Physical Picture



 \vec{E} is a response in the plasma due to changing pressure and drag conditions.

Electric field dominated



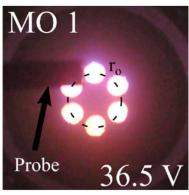
Pressure dominated

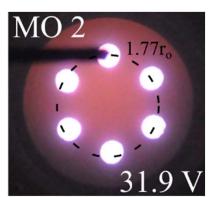
 \vec{E} is suppressed because design increases pressure and reduces drag



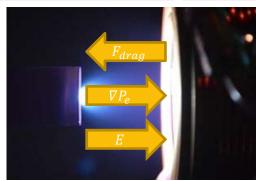
...Now What?



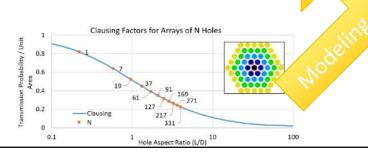




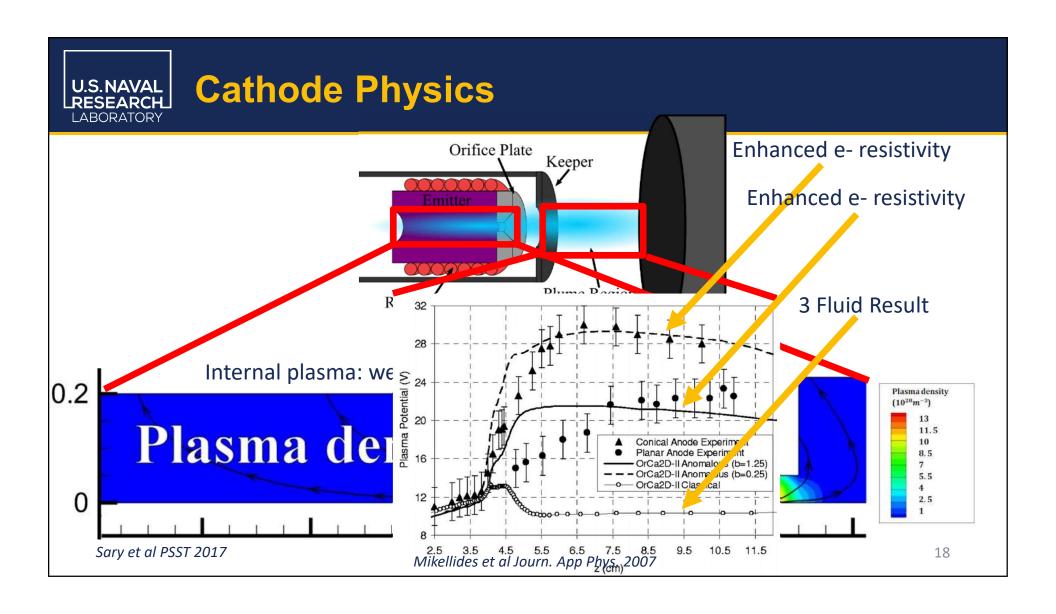
Goal: learn to manipulate forces for future designs













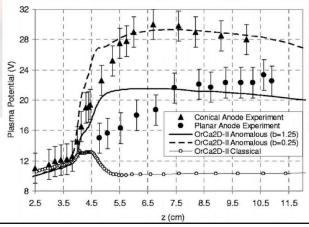
Streaming instabilities

IAWs cause effective drag force on electrons by distorting the distribution function

The effective collision frequency can be 10-100X Coulomb collisions



Jorns et al Phys Rev E 2017



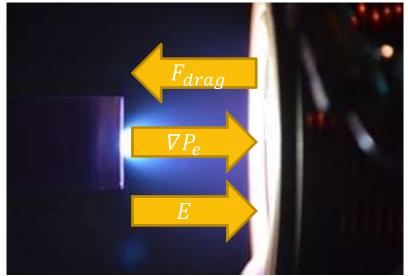
750 1500 2250 3000 WAVE NUMBER (m⁻¹)

Jorns et al Phys Rev E 2014

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Anomalous Electron Transport Physical Picture



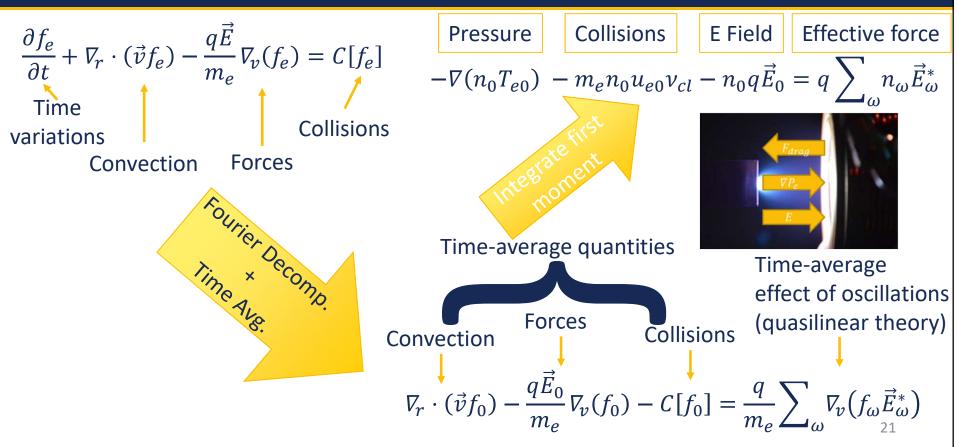
$$-\frac{\nabla(P_e)}{n} - m_e u_e v_e = \vec{E}$$

1. P_e pushes electrons from cathode to the anode

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How do we model this turbulence effect?





What are we interested in knowing?

Fluid Pressure + E field Kinetic Wave effect

$$-(\nabla(n_0 T_{e0}) + n_0 q \vec{E}_0) \simeq q \sum_{\omega} n_{\omega} \vec{E}_{\omega}^*$$

How does the fluid picture compare with the kinetic picture?



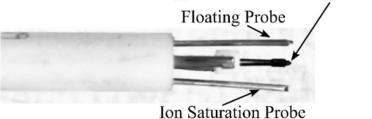
What must we measure?

$$-(\nabla(n_0 T_{e0}) + n_0 q \vec{E}_0) \simeq q \sum_{\omega} n_{\omega} \vec{E}_{\omega}^*$$
Emissive Probe

Need to measure:

- 1. For Ohm's law (Fluid)
 - 1. Density
 - 2. Electron Temperature
 - 3. Plasma potential \rightarrow E field
- 2. For QLT (Kinetic)
 - 1. Density oscillations
 - 2. Plasma oscillations \rightarrow E field

$$(E_{\omega}=-ik\phi_{\omega} \text{ and } k=rac{\omega}{c_{s}+u_{i}} \text{ is assumed})$$



Integrated triple probe design

- DAQ: 12 bit oscope
- Probe is calibrated with a chirped reference signal.

Need to determine:

- 1. Fourier amplitudes
- 2. Phase delay between field and density

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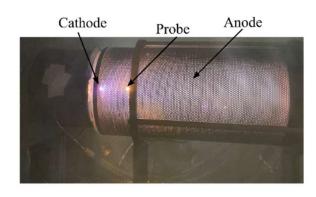


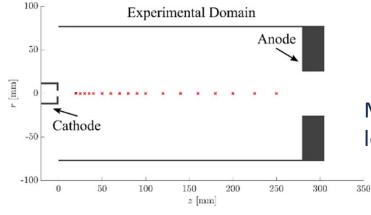
Experimental setup

Operating Conditions

$$I_{dc} = 20 A$$

 $V_{dc} = 27.4 V$
 $\dot{m} = 20 sccm$
 $P = 400 \mu Torr$

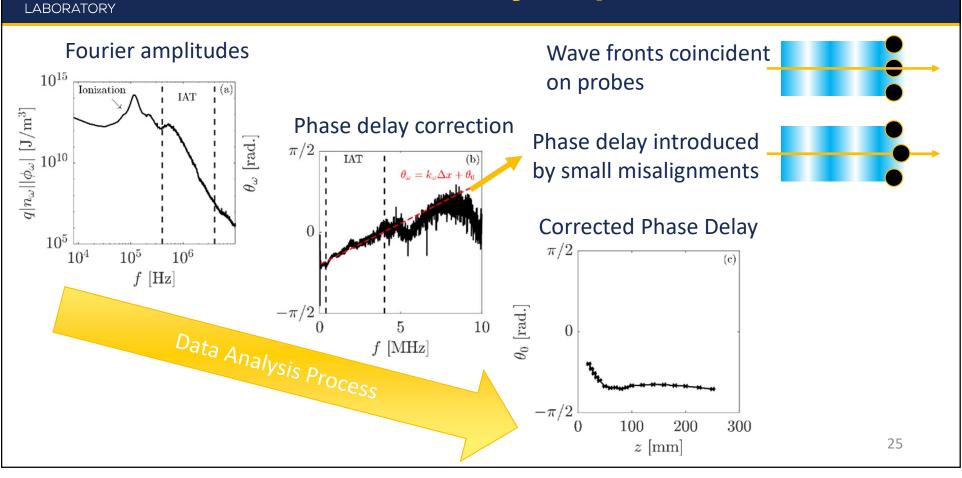




Measure on axis along the length of the anode

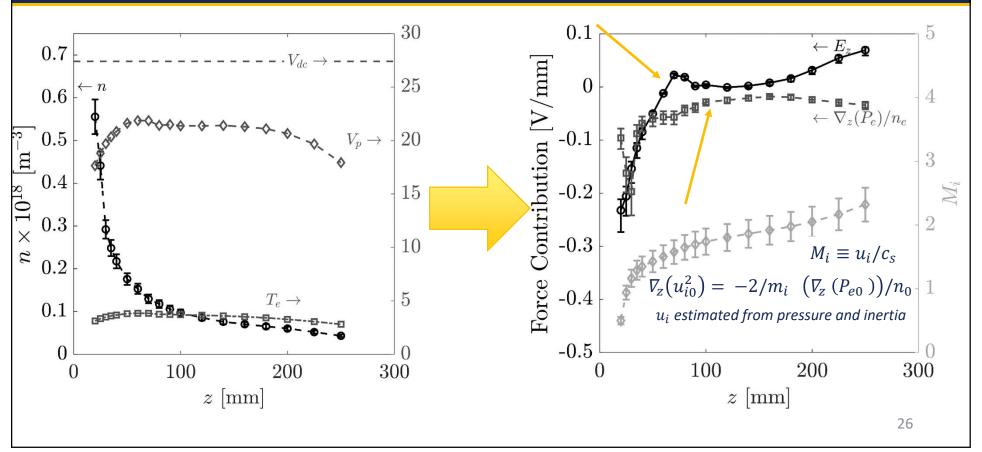


Oscillation data analysis process





Experimental Results: Ohm's law (Fluid)



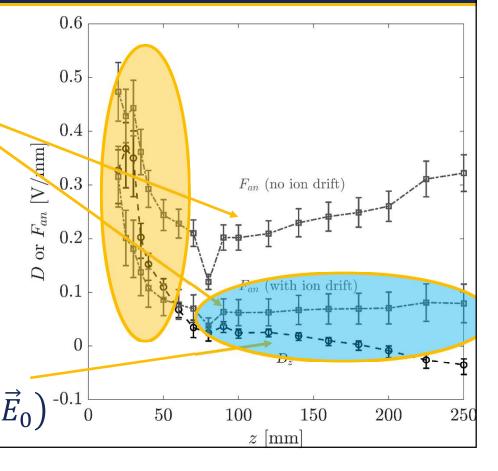


Experimental Results: Comparison



- Excellent agreement is found near the cathode.
- Improved agreement downstream when accounting for ion drift.

Fluid
$$-(\nabla(n_0T_{e0}) + n_0q\vec{E}_0)^{-0.1}$$





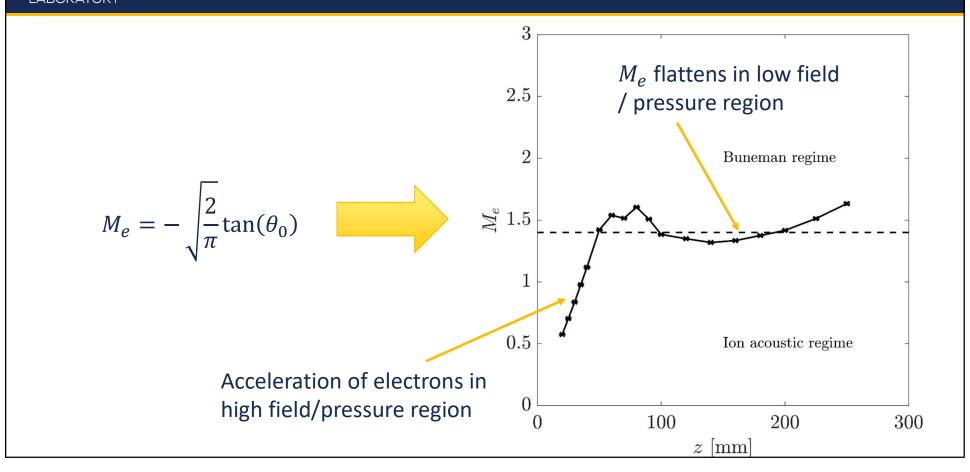
Anomalous Electron Transport Physical Picture



- 1. P_e pushes electrons from cathode to the anode
- 2. F_{drag} (resistance) from <u>turbulence</u> slows them down
- 3. E pulls electrons to conserve I_{dc} but requires more $V_{\underline{dc}}$



Experimental Results: Electron drift velocity





Experimental Results: Anomalous Collision Frequency



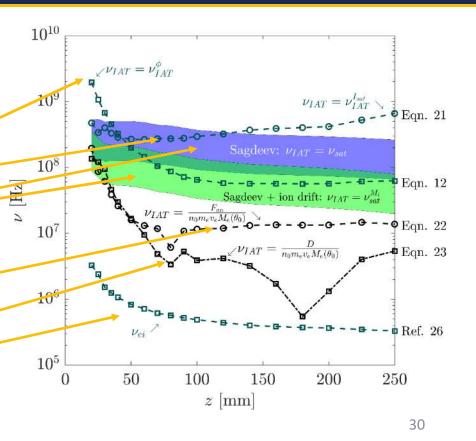
Estimate from single probes

Sagdeev Models

Collision frequency from QLT (Kinetic)

Collision frequency from Ohm's law (Fluid)

Classical collision frequency





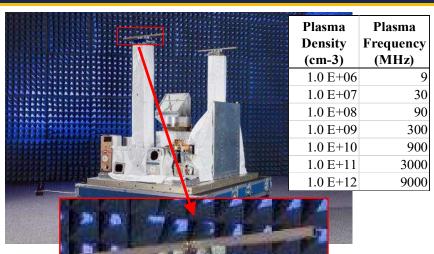
What Should You Take From All This?

- Understanding anomalous electron transport is important!
 - Critical for cathode lifetime and performance prediction in EP devices
 - Also "kind of a big deal" in other plasma systems
- Our measurements show that the force deficit from the <u>fluid Ohm's law picture is</u> well represented by the kinetic quasilinear theory
 - We can experimentally measure electric and pressure forces to infer drag
 - We can estimate drag via Coulomb collisions in the IAT framework using probe spectra
 - The results line up pretty well!
- However, when cast as an anomalous collision frequency, the <u>results highlight the</u> spread in different estimation methods
 - It's not yet clear how best to shoehorn this kinetic effect into a fully fluid framework



Plasma Impedance Probes: Shifting from Flux to Frequency in Plasma Diagnostics

- The Langmuir probe (LP): the original plasma diagnostic
 - Density ne calculated indirectly from flux; errors up to...?
 - Flux is a multi-variable function $f(V_p, n_e, T_e, A_p, Z)$
 - Density calculation affected by beams, EEDF, B-field, etc.
- However, most NIST-traceable measurements rely on time and length
 - No such thing as a plasma "standard candle" to calibrate probes
 - Could we use the plasma frequency instead?
- Some history on the plasma impedance probe (PIP)?
 - NRL has developed PIPs since 2005^{1,2}, flown on ISS since 2019
 - Max $n_e = 10^8$ cm⁻³ ($f_n = 100$ MHz); time resolution $\tau = 100$ ms
 - Could we use them for higher density plasmas?
 - Would like $n_e \ge 10^{10}$ cm⁻³ ($f_p = 1$ GHz), $\tau \le 10$ μs



NRL's large plasma impedance probe on the International Space Station measures static (τ = 100 ms) plasma densities up to 10⁸ cm⁻³. It would be nice to make dynamic (τ = 10 μ s) measurements up to 10¹⁰ cm⁻³!

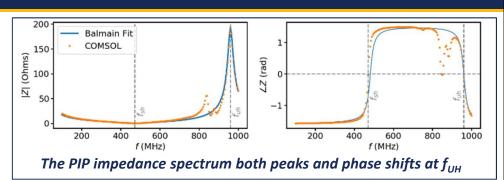


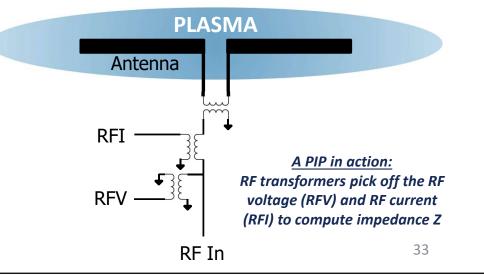
How Does a PIP Work? In the Ideal World...

- Sweeping an antenna through a plasma's upper hybrid frequency f_{UH} produces:
 - A maximum in impedance magnitude
 - A 180° phase shift in phase
- If you know B, you know n:

$$- \omega_{UH}^2 = \omega_{pe}^2 + \Omega_{ce}^2$$

- $\omega_{pe}^2 \propto n$ and $\Omega_{ce} \propto B$
- Fundamental questions:
 - Is this method accurate?
 - Can you measure a useful density range?
 - Can you get good spatial resolution?
 - Can you get good time resolution?
 - Can you do it cheaply?



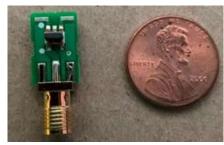




The Three Most Important Rules of Antennas: Calibrate, Calibrate, Calibrate

- 1. Choose an antenna design
- 2. Measure Z=Z(f) with R/L/C standards in place of antenna
- 3. Verify individual R, L and C calibrations applied jointly to a known RLC circuit

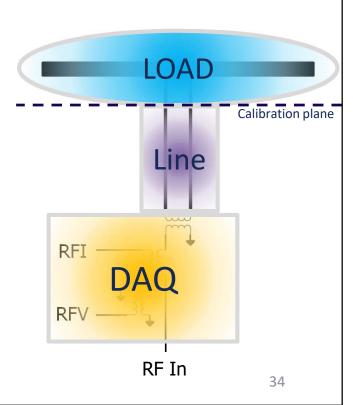
Goal: Isolate line effects to measure only the load at your DAQ (despite the stuff in between)



The PIP v1 above uses a 0.75 cm dipole to minimize ∇n error with a 1.25 GHz balun



This calibration standards board mimics the PIP layout, but replaces it with known R / L / C standards

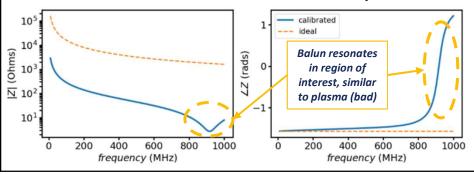




Lessons Learned #1: Resonances are Bad

- A balun transitions from a balanced dipole to unbalanced (i.e., grounded shield) coax line
 - But beware if it has a resonance in your range of interest!
 - Options:
 - Test far away from the resonant regions
 - Calibrate/de-embed the resonance
 - Choose a different balun
 - Eliminate the balun entirely
- De-embedding: a technique to analytically remove circuit elements you can't otherwise calibrate out
 - Many RF circuit elements have datasheet S-parameters
 - Fun reality check: Build a back-to-back copy to check!

Antenna Vacuum Measurement Comparison



Some Sources of Non-Ideal Behavior

Calibration plane



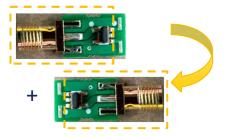


SMA + microstrip transmission line

Balun

Other parasitic impedances

End-to-End Balun Calibration Board

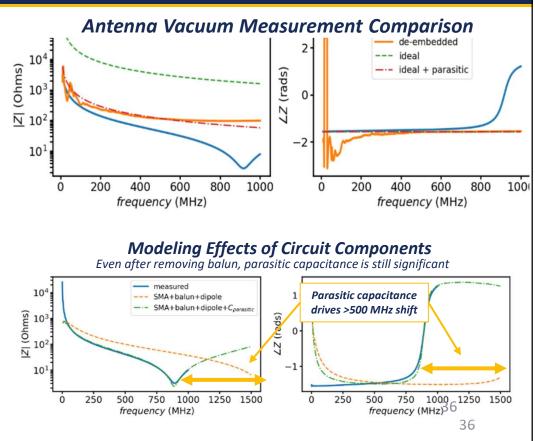






Lessons Learned #2: Parasitic Impedance is Annoying Too

- De-embedding worked great
 - Now we can see the next problem
- Even after de-embedding, we are way off (orange vs. green)
 - To get good agreement, we need to add a lot of capacitance
- We will come back to this problem in a few slides!

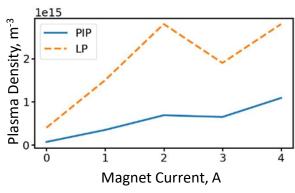




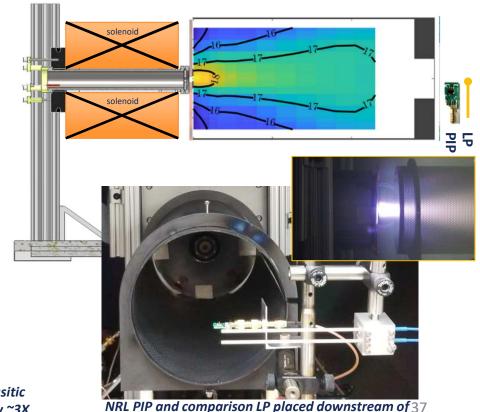
A Quick Look at Some Data: PIP vs. LP in a Cathode Plume

Experimental setup:

- Plasma Test Facility (PTF): 0.7m x 1m, 4000
 L/s
- Argon-fed LaB₆ hollow cathode, 10-20 sccm
- Applied magnetic field ~100s G
- Cylindrical mesh anode
- Fixed measurement far downstream



Result: We see the right general trend in PIP vs. LP, but parasitic capacitance matters a lot! Uncorrected, it gives values off by ~3X



anode on axis in $n_e \sim 10^9 \text{ cm}^{-3} = 10^{15} \text{ m}^{-3} \text{ plasma}$



Making Our Lives Easier: Transition from PIP Dipole to Monopole

- When a system is complicated, what do you do? Simplify!
 - The dipole has balun and parasitic capacitance problems
 - What if we go to a monopole "ball on stick" design?
- Why use a ball on a stick?
 - Analytically tractable
 - Simple parasitic modeling
 - Heavily developed pre-ISS for NRL PIPs
 - Drawback was uncertain return path in low n_e environment (sounding rockets or ISS)
 - Promising for high n_e thruster environments
 - Benefits IN survivability and sizing
 - 1-cm spherical monopole can be as "big" as a 3-cm dipole

Results:

- Improved modeling allows dynamic range ~10³
- Cathode static plume mapping looks good against LP comparison
- Capable of time resolution better than 100 kHz



Reminder: resonances in your frequency range of interest are bad



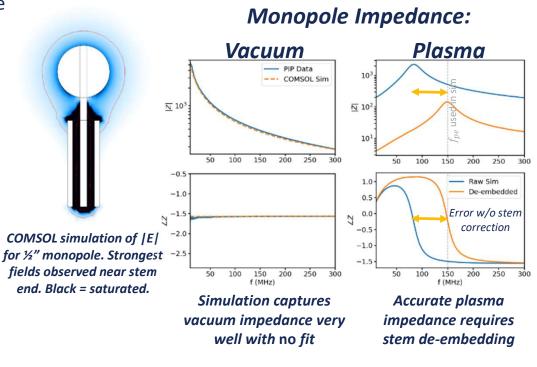
PIP Monopole: 1/2" "Ball on a Stick"





Even a Really Short Coaxial Stem Still Needs De-embedding to Measure Plasma

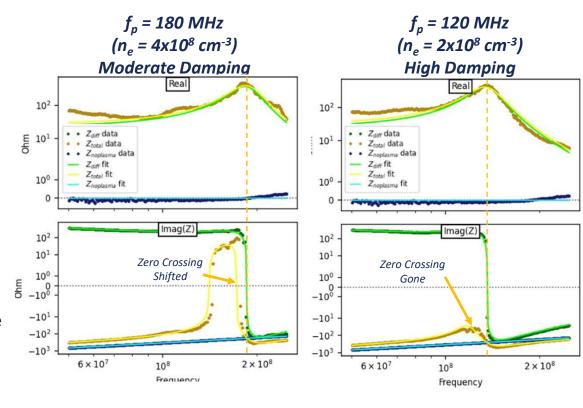
- Let's compare two cases:
 - Sphere model: free-floating sphere in infinite uniform plasma
 - Monopole: Include coaxial stem and center conductor length
 - No sheath effects in either case
- In vacuum, they agree well!
 - Only datasheet coax values and measure ball/stick geometry required
 - No free parameters or fitting
- However, plasma case is quite different
 - Major difference in f_n ~50%
 - Largely resolved by de-embedding stem





Aside: An experimental oddity

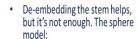
- Ideally, Re[Z] and Im[Z] show identical plasma frequency
 - So why don't they always in practice?
- Damping of the plasma resonance affects result
 - Shifts or even eliminates zero crossing in Im[Z]
 - Also shifts peak in Re[Z] (less obvious)
- Unexpected finding:
 - Subtracting vacuum impedance $(Z_{diff} = Z_{total} Z_{noplasma})$ resolves the issue very effectively
 - Great, but why?





Resuming our regular programming: Remember how we were missing some capacitance?

- De-embedding the stem helps, but it's not enough. The sphere model:
 - captures f_{pe} well, but...
 - overestimates impedance magnitude (i.e., has too little capacitance)
- Where is the extra capacitance?
 - Look at difference between top and bottom halves of "lollipop"
 - These strong fields are a region that will also have quite a bit higher capacitance
 - Effect increases as sphere size decreases



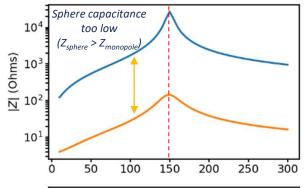
- captures fpe well, but...
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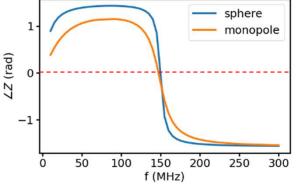
Where is the extra capacitance?

- Look at difference between top and bottom halves of "lollipop"
- These strong fields are a region that will also have quite a bit higher capacitance
- Effect increases as sphere size decreases

COMSOL simulation of |E| for ½" monopole. Strongest fields observed near stem end. Black = saturated.

Plasma Impedance (no sheath)

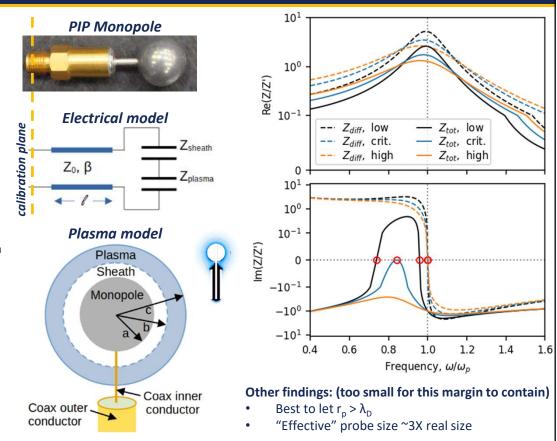






Finding the Capacitance Permits Better Design, Modeling and Experimental Practice

- How does this change our understanding of the monopole capacitive coupling?
 - Initial NRL models[1] assumed the grounded tank, effectively letting c → infinity
 - But it's actually the grounded coax shield
- To fix this we:
 - Constrain "c" as the "effective " spherical radius of the sphere – coax interaction
 - Subtract this new more capacitive (negative) vacuum impedance
 - We can approximate this pretty well experimentally by subtracting the vacuum impedance!
- Result:
 - Much better isolation of f_n and n_e
 - Extends dynamic range over which we can analyze a given sized probe[2]



[1] D. D. Blackwell, et. al, *Rev. Sci. Inst.*, 2005, <u>10.1063/1.1847608</u>.

[2] E. D. Gillman, E. Tejero, et. al., Rev. Sci. Inst., 2018, doi: 10.1063/1.5033329

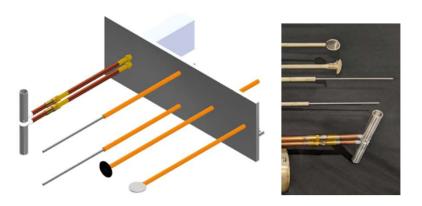


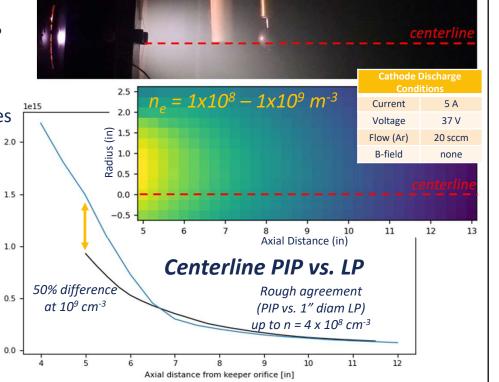
Another Quick Data Look at PIP vs. LP

Plasma electron density $[m^{-3}]$

- Cathode comparisons:
 - Decent agreement from low 10⁶ mid 10⁸ cm⁻³
 - Hard to get good comparison against a single LP over this large range!

Future work: comparison against multiple LP types in situ across larger plasma density ranges





Case A (quiescent)



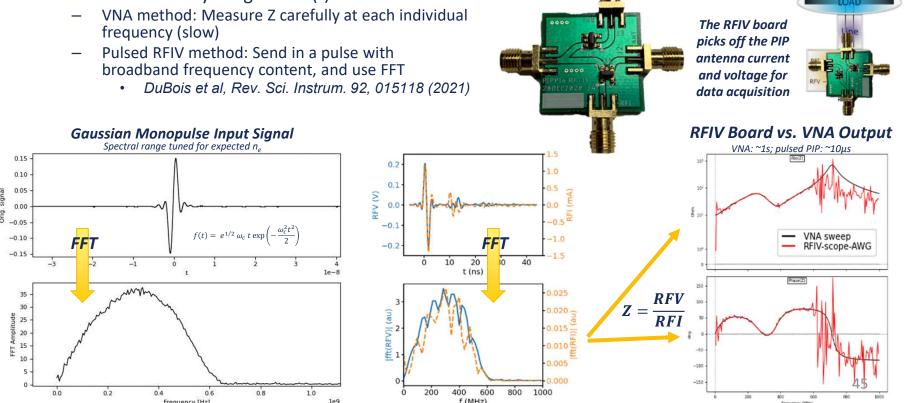
PIP Rules of Thumb: Static, Single-Point Measurements

- Size monopole with sufficiently large radius to capture desired lower density limit $(r_p > \lambda_D)$
- Move calibration plane as close to PIP as possible (short coaxial stem) and de-embed remaining stem
- Potentially subtract off PIP vacuum impedance as a shortcut to nonlinear impedance modeling



What about Time Resolution? 100 kHz Straightforward; >1 MHz Perhaps?

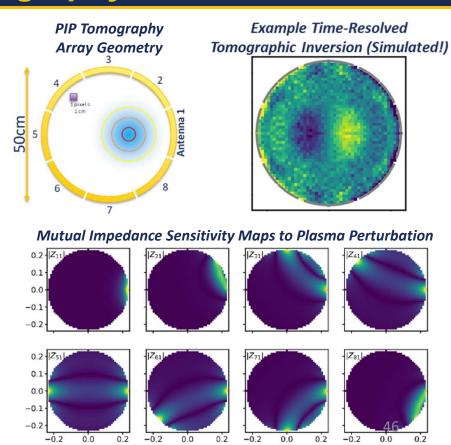
• There are two ways to get Z = Z(f)





What if You Used Your Antenna to Transmit and Receive? Or, PIP Tomography

- So far we've only talked about sending signals from an antenna into a plasma, and measuring the antenna's self-impedance
 - What if we have more than one antenna?
 - Can we get anything from the mutual impedance?
- Technique:
 - Use antenna array's mutual impedances $|Z_{mn}|$ to detect plasma presence
 - Sweep through frequency to capture Z=Z(f)
 - Start with a 2D geometry
- That's a beautiful dream. Where are we in reality?
 - Derived theory of mutual impedance probes in a plasma
 - Conducted some 2-D simulations of N_{array}= 8 circular dipole array
 - Developed reconstruction algorithms
 - Pseudo-inverse problem
 - Incorporates multi-frequency data
 - Builds on sensitivity map "basis functions"
 - Attempting inversion of some simple plasma shapes

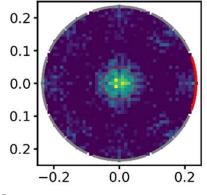


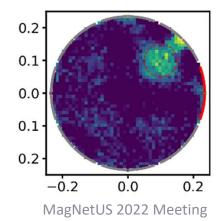


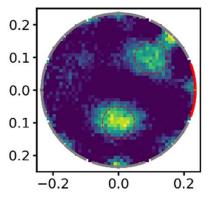
Initial PIT Reconstruction Using Moore-Penrose Pseudoinverse

We generate the tomographic inverse for three distributions:

- Using Jacobian constructed as indicated in the previous slide
- The pseudoinverse is calculated once and can be used to quickly produce reconstructions
- Conducted 3 numerical simulations
 - Centered 10 cm top-hat
 - Offset 10 cm top-hat
 - Two offset 10 cm top-hats
- Red dashed circles indicate the size of the perturbation







9 June 2022

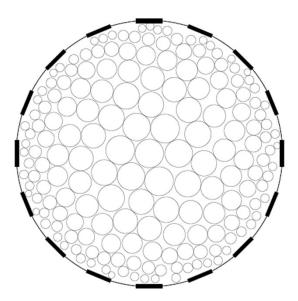


Some brief words about image resolution, because that's all anyone ever wants to know...

Resolution is Difficult to Quantify

- Typically for Electric Impedance Tomography
 - Uniform pixel sizes are taken
 - An arbitrary number of pixels are chosen
 - Numerical modeling allows for reconstructions
 - Resulting reconstructions are qualitatively compared with input dielectric maps
- System response dependent on
 - Contrast of perturbation
 - Size of perturbation
 - Location of perturbation
 - Assumed background
 - Number of antennas
 - Type of stimulus

Uniform Response Discretization



Winkler and Rieder (2014)

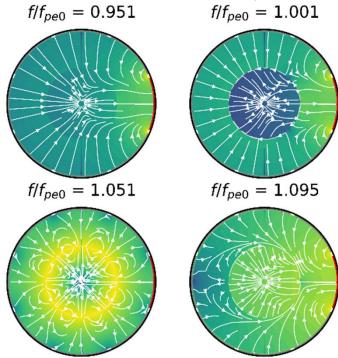


Multi-spectral Measurements Provide More Information for Reconstructions

Multi-frequency Reconstruction

- Radical changes to currents paths for different frequencies indicates spatial information available
- Multiplicative factor on information available for each frequency used
- Should increase "resolution" for fixed number of antennas
- Tailored inversion incorporating known plasma physics will also improve reconstruction

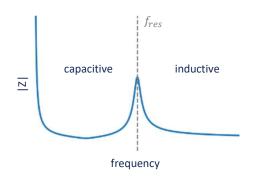
Current Paths for Various Frequencies



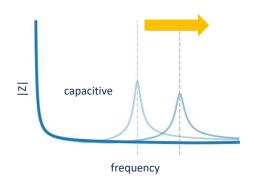


Background for Accuracy Validation: PIPs Really Measure Permittivity, Not Density

Resonant Dipole Impedance in Vacuum



Transition to Short Dipole Regime



 Our PIPs are very short dipoles, hardly antennas at all, so Z is capacitive:

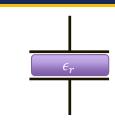
$$Z_{dipole} = \frac{1}{i\omega C}$$

We measure Z first in vacuum, then in a plasma where Z becomes:

$$Z_{dipole} = \frac{1}{i\omega\epsilon_p C}$$

 The plasma density comes out of a complex dielectric permittivity, we get a plasma density as:

$$\epsilon_p = 1 - \frac{e^2 n}{\epsilon_0 m_e} \frac{1}{(\omega^2 + \nu^2)} + i \frac{e^2 n}{\epsilon_0 m_e} \frac{\nu}{(\omega^2 + \nu^2)}$$
$$\omega_p^2 = \frac{e^2 n}{\epsilon_0 m_e}$$



$$Z = \frac{1}{i\omega C} \to Z = \frac{1}{i\omega \epsilon_r C}$$

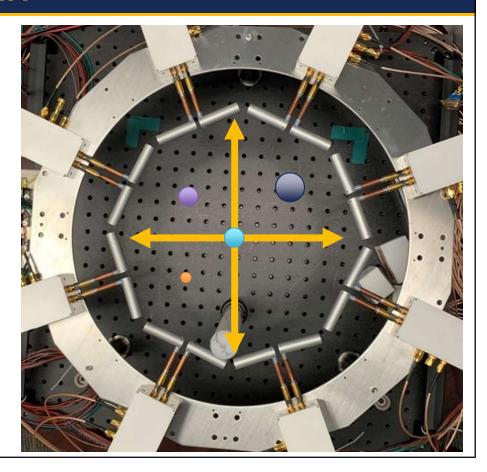


Insight for accuracy validation: We can get materials of known ε easily! 50



Could You Use a Plasma Density "Standard Candle" That Isn't Plasma At All?

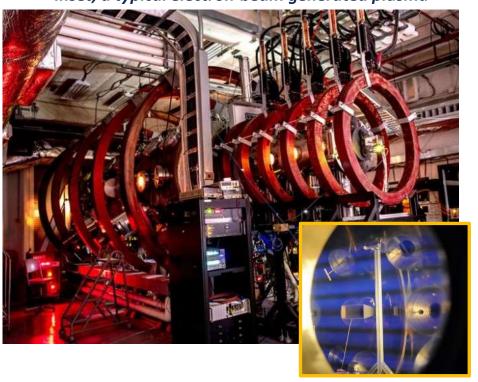
- What if you just used... a candle?
 - Or any other hunk of wax, plastic, or suitable dielectric?
- We know the dielectric constants of materials well (and they're cheap!)
 - PTFE, quartz, polystyrene, etc.;
 - Easy to find bulk materials with ε=1-10
 - Lossy materials could provide complex ε too
- Could this be the way to make an absolute calibration of a PIP density measurement?



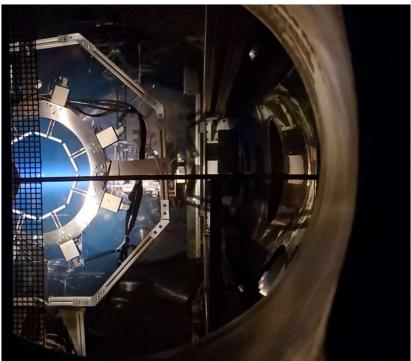


What About a Nice Textbook Plasma for Tomography?

NRL's Space Chamber in the Plasma Physics Division; inset, a typical electron-beam generated plasma



An axisymmetric plasma column in the Space Chamber for validation of PIP tomography

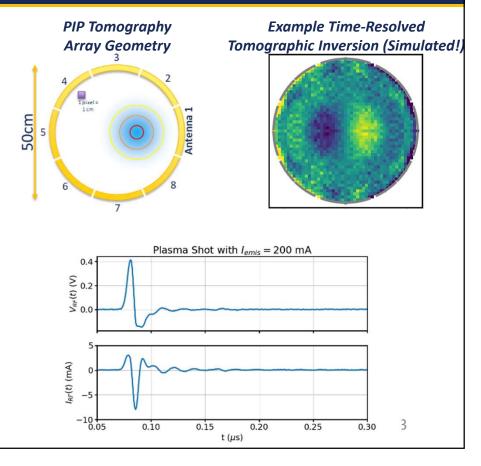


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Some Closing Thoughts

- If you can do static tomography, could you do time resolved pictures too?
 - Simulation suggests yes... but theory isn't practice
- When we watch a pulsed PIP shot "ring down", what is the damping mechanism?
 - How does the ringdown "collision" frequency to fit ε compare to an effective anomalous collision frequency?
 - Could we use this technique as another way to infer this quantity in plasma?





Questions?

